

12/20/01  
J1064 U.S. PTO

12-31-01  
ATTORNEY DOCKET NO.:  
014208.1395 (70-06-006)

PATENT APPLICATION

1

TRANSMITTAL FOR U.S. PATENT APPLICATION  
UNDER 37 CFR §1.53(b)

**Box Patent Application**  
ASSISTANT COMMISSIONER  
OF PATENTS  
Washington, D.C. 20231

Attorney Docket No.: 014208.1447  
(70-00-006)

J1050 U.S. PTO  
10/029657  
12/20/01

Sir:

Transmitted herewith for filing is the original patent application of:

Inventor: Donna M. Stemmer, et al.

For: **A BALANCE SHEET AND METHOD FOR MEASURING  
INTELLECTUAL CAPITAL**

Enclosed are:

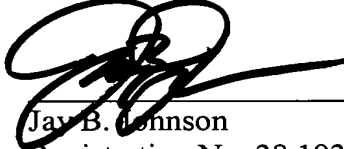
- (1) 46 pages of Specification, Claims and Abstract;
- (2) 4 pages of an Declaration and Power of Attorney (unexecuted);
- (3) 3 pages of Formal Drawings;
- (4)        page of an executed Verified Statement Claiming Small Entity Status under 37 C.F.R. §§ 1.9(f) and 1.27(c)—Small Business Concern;
- (5)        pages of an Assignment of the invention to Electronic Data Systems Corporation;
- (6)        pages of a separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment with the filing fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h) to be charged to Deposit Account No. 05-0765 of Electronic Data Systems Corporation;
- (7)        pages of an Information Disclosure Statement with PTO-1449 Form (       pages with        attached references);
- (8)        pages of a Preliminary Amendment;
- (9)        pages of a Bibliographic Data Sheet
- (10)        page of Change of Correspondence Address;
- (11) 1 page of a Certificate of Mailing by Express Mail No.: EL 926775626 US; and
- (12) 2 pages of this Transmittal Form with attached patent application filing fee to be charged to Deposit Account No. 05-0765 of Electronic Data Systems Corporation.

The accompanying application claims the priority under 35 U.S.C. §119 of provisional application serial number 60/257,676, entitled "Invisible Balance Sheet", filed December 22, 2000.

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee \$ 740.00
Total Claims	57	20	37	X \$18 =	\$ 666.00
Independent Claims	2	3	0	X \$84 =	\$ -0-
TOTAL FILING FEE =					\$1,406.00

Please charge the filing fee of \$1,406.00 or any other fee required or credit any overpayment to Deposit Account No. 05-0765 of Electronic Data Systems Corporation. **A duplicate copy of this sheet is enclosed.**

BAKER BOTTS L.L.P.  
Attorneys for Applicants

  
Jay B. Johnson  
Registration No. 38,193

Date: December 20, 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Donna M. Stemmer, et al.  
Filing Date: December 20, 2001  
Title: A BALANCE SHEET AND METHOD FOR  
MEASURING INTELLECTUAL CAPITAL

**Box: Patent Application**  
Assistant Commissioner for  
Patents  
Washington, D.C. 20231

Dear Sir:

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that the attached Filing Fee Transmittal (2 pages), the Patent Application (46 pages), Formal Drawings (3 pages), an unexecuted Declaration and Power of Attorney (4 pages), a Baker Botts L.L.P. return receipt postcard (1 card), and this Certificate of Mailing (1 page) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on this 20th day of December, 2001 addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

*Willie Jiles*

Willie Jiles

Express Mail Receipt  
No. EL 926775626 US